

This report is public	
Risk Monitoring Report January 2024	
Committee	Accounts, Audit & Risk Committee
Date of Committee	20 March 2024
Portfolio Holder presenting the report	Portfolio Holder for Corporate Services, Councillor Sandy Dallimore
Date Portfolio Holder agreed report	12 February 2024
Report of	Assistant Director – Customer Focus, Shona Ware

Purpose of report

To update the committee on how well the council is managing its Strategic Risks.

1. Recommendations

The Accounts, Audit & Risk Committee resolves:

- 1.1 To note the Risk Monitoring Report for January 2024.

2. Executive Summary

- 2.1 The Leadership Risk Register is reviewed by the Corporate Leadership Team and Executive Committees every month; however, this is a live document and therefore is updated as and when required, to manage risk effectively. There were no score changes in the Leadership Risk Register during January 2024.

Implications & Impact Assessments

Implications	Commentary
Finance	There are no financial and resource implications arising directly from this report. Michael Furness, Assistant Director of Finance
Legal	There are no legal implications arising directly from this report. Shiraz Sheikh, Monitoring Officer & Assistant Director – Law
Risk Management	This report contains a full update with regards to the Council's risk position at the end of January 2024. There are no risk implications arising directly from this report. Celia Prado-Teeling, Performance & Insight Team Leader

Impact Assessments	Positive	Neutral	Negative	Commentary
Equality Impact		x		There are no equalities implications arising directly from this report. Celia Prado-Teeling, Performance Team Leader
A Are there any aspects of the proposed decision, including how it is delivered or accessed, that could impact on inequality?		x		
B Will the proposed decision have an impact upon the lives of people with protected characteristics, including employees and service users?		x		
Climate & Environmental Impact		x		
ICT & Digital Impact		x		
Data Impact		x		
Procurement & subsidy		x		
Council Priorities	Not applicable			
Human Resources	Not applicable			
Property	Not applicable			
Consultation & Engagement	Not applicable			

Supporting Information

3. Background

- 3.1 The Council carries out regular reviews to identify risks at the earliest opportunity so that it can assess and mitigate them as soon as possible.
- 3.2 Risks that may affect the Council's performance, and particularly, on its ability to deliver its corporate priorities are captured in its Leadership Risk Register.

4. Details

4.1 There were no changes to any of the risk scores in the Leadership Risk Register in January 2024. The scores for current risks remain as follows:

		Probability				
		1 - Remote	2 - Unlikely	3 - Possible	4 - Probable	5 - Highly Probable
Impact	5 - Catastrophic			L08		
	4 - Major		L06-L09	L03-L07-L11-L14	L01	
	3 - Moderate		L04-L05-L10	L02-L12	L13	
	2 - Minor					
	1 - Insignificant					

Figure 1: Risk scorecard showing the risk scores in the Leadership Risk Register for January 2023.

4.2 There were however changes to the mitigating actions and comments for the following risk:

Risk	Score	Direction of travel	Latest Update on 06/02/2024

<p>L03 - CDC Local Plan - Failure to ensure sound, up to date local plan remains in place for Cherwell resulting in poor planning decisions such as development in inappropriate locations, inability to demonstrate an adequate supply of land for housing and planning by appeal</p>	<p>12 Medium Risk</p>	<p>↔</p>	<p>Mitigating actions: -</p> <ul style="list-style-type: none"> • Review Directorate/Service risk registers. • Annual (Authority) Monitoring Reports presented to the Executive on plan making and policy effectiveness. • An updated LDS presented to the Executive when there is a significant change in the circumstances for the Local Plan timetable. • Programme built into Directorate level objectives (e.g. via Service Plans) and staff appraisals; on-going preparation of the Local Plan is a service priority. • Project management of the Local Plan process continues. • Regular Corporate Director, Portfolio Holder and Members Advisory Group briefings <p>Comments: -</p> <p>Consultation on a draft of the Cherwell Local Plan Review took place from 22 September to 3 November 2023. A new programme for the Local Plan was agreed when the Council's Executive approved an updated 'Local Development Scheme' on 5 September 2023. An Annual Monitoring Report was approved by the Executive on 4 December 2023. A 'Proposed Submission' (Reg. 19) draft of the Plan will be prepared for Autumn 2024 and regular progress reports provided to the Council's Corporate Leadership Team and the Portfolio Holder for Planning and Development.</p>
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The full Leadership Risk Register is attached in Appendix 1.

5. Alternative Options and Reasons for Rejection

5.1 The following alternative options have been identified and rejected for the reasons as set out below.

Option 1: [Insert option] No alternative options have been identified as this report is for information only.

6 Conclusion and Reasons for Recommendations

6.1 This report provides an update on how well the council is managing its Strategic Risks up to the end the tenth month of the financial year.

Decision Information

Key Decision	N/A
Subject to Call in	N/A

If not, why not subject to call in	N/A
Ward(s) Affected	All

Document Information

Appendices	
Appendix 1	Leadership Risk Register January 2024
Background Papers	None
Reference Papers	None
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